

ZOOE Securities Ltd (ANTI-MONEY LAUNDERING STATEMENT)

1. Introduction

- 1.1. ZOOE Securities Ltd is incorporated under the laws BVI Business Companies Act 2004 with Registration 2015794 having its Operating office at Kingston Chambers, PO Box 173, Road Town, Tortola, British Virgin Islands.
- 1.2. The ANTI-MONEY LAUNDERING STATEMENT sets out the terms and conditions for the provision of investment services under the I BVI Business Companies Act 2004 by ZOOE Securities Ltd (herein the “Company”) to the Clients.

2. Key Principles

- 2.1. All of the Company’s employees are required to read and acknowledge the Anti-Money Laundering Manual of the Company and shall at all times act under the ‘Key Principles’ set out therein.
- 2.2. The Key Principles are:
 - (a) Take appropriate steps to protect the Company and its domain from any activities which involve money laundering and terrorist financing.
 - (b) The Company must maintain and implement written policies and procedures with respect to combating money laundering, a system of internal controls to ensure ongoing compliance with applicable laws which shall be reviewed and monitor by a designated person and to take appropriate action, once suspicious activity is detected, through the reporting of such transactions in line with the guidelines set out by Global Anti- Money Laundering regulations.
 - (c) Comply with applicable anti-money laundering and terrorist financing laws and regulations as established by the Global Anti- Money Laundering guidelines.
 - (d) All business units of the Company shall follow the AML policies and procedures.
 - (e) Report all identified suspicious activities to the extent that it can do so under all applicable foreign and domestic laws.

- (f) Compliance with the Company's AML policies will be monitored through a combination of internal audit and regulatory reviews of compliance with relevant anti-money laundering legislation and/or regulations.
- (g) The Company does not offer services of opening anonymous accounts.
- (h) Full cooperation with law enforcement and regulatory agencies to the extent that it can do so under all applicable laws.
- (i) Train staff on Know Your Customer and Anti-Money Laundering policies and new AML laws and regulations.

3. Enquiries

For further AML enquiries please contact us at help@zooe.com.

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